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PATENT APPLICATION

USPTO

REFUNDS ACCOUNTING

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re U.S. Application of: 2008 APR 28 PM 6:49

Applicant: Nagatani et al.

Serial No.: 10/813,613

Conf. No.: 4370

Filed: March 30, 2004

For: ILLUMINATION DEVICE
AND DISPLAY APPARATUS
INCLUDING THE SAME

Art Unit: 2629

Examiner: Ma, Calvin

I hereby certify that this paper is being deposited with the United States Postal Service as FIRST-CLASS mail in an envelope addressed to: Refunds Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this date.

April 14, 2008
Date

Attorney for Applicant(s)
Registration No. 29,367

REQUEST FOR A REFUND TO DEPOSIT ACCOUNT 07-2069

Refunds Branch
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22213-1450

Dear Sir/Madam:

On November 23, 2007, the above-mentioned deposit account was charged \$180.00 for submission of an Information Disclosure Statement. The IDS was submitted under 37 C.F.R. § 1.97(c), after the C.F.R. § 1.97(b) time period, but before the mail date of a Final Office Action or a Notice of Allowance (whichever occurs first), with either: (a) a statement under 37 C.F.R. § 1.97(e); or (b) the \$180.00 fee under 37 C.F.R. § 1.17(p). The IDS submitted on November 8, 2007, was submitted with a statement under 37 C.F.R. § 1.97(e) (see Information Disclosure Statement attached). Therefore, the \$180.00 charge is believed by Applicants to be incorrect.

Applicants respectfully request a refund in the total amount of \$180.00 be credited to Deposit Account No. 07-2069.

Should there be any questions regarding this Request for a Refund, please contact the undersigned attorney at the telephone number listed below.

Respectfully submitted,

GREER, BURNS & CRAIN, LTD.

By


Patrick G. Burns
Registration No. 29,367

23
April 14, 2008
300 South Wacker Drive
Suite 2500
Chicago, Illinois 60606
Telephone: 312.360.0080
Facsimile: 312.360.9315
Customer No. 24978

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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November 20, 2007

Date

Joseph P. Fox
 Registration No. 41,760
 Attorney for Applicant(s)

NOV 20 2007

INFORMATION DISCLOSURE STATEMENT

Mail Stop AMENDMENT
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

Dear Sir:
 This IDS is submitted under 37 C.F.R. §1.97(c), after the C.F.R. §1.97(b) time period, but before the mail date of a Final Office Action or a Notice of Allowance (whichever occurs first), with either:

- (a) a statement under 37 C.F.R. §1.97(e); or
- (b) the \$180.00 fee under 37 C.F.R. §1.17(p).

Applicant(s) submit herewith Form PTO-1449 (Information Disclosure Citation) together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 C.F.R. §1.56. Applicant(s) respectfully submit that the citation of any reference on Form PTO-1449 does not constitute an admission that the reference qualifies as prior art.

It is requested that the information disclosed on the enclosed Form PTO-1449 be made of record in this application.

(X) Statement Under 37 C.F.R. §1.97(e):

(X) The enclosed references were cited in a Communication issued by the Korean Patent Office dated August 31, 2007. Applicant(s) hereby certify that each item of information cited on the enclosed Form PTO-1449 was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Information Disclosure Statement (except for corresponding references WO 01/84046 A1 and U.S. Publication No. 2006/0250544)

() Applicant(s) hereby certify that no item of information cited on the enclosed Form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing below after making reasonable inquiry, no item of information cited on the enclosed Form PTO-1449 was known to any individual designated in §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

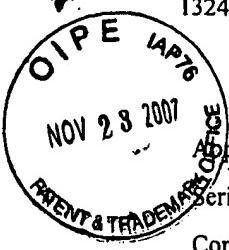
() Enclosed is a check for \$180.00 to cover the fee as set forth in 37 C.F.R. § 1.17(p).

The Commissioner is hereby authorized to charge any additional fees which may be required to this application under 37 C.F.R. §§1.16-1.17, or to credit any overpayment, to Deposit Account No. 07-2069. A duplicate copy of this sheet is enclosed.

Customer No. 24978
 November 20, 2007
 300 South Wacker Drive, Suite 2500
 Chicago, Illinois 60606
 Telephone: (312) 360-0080
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Respectfully submitted,
 GREER, BURNS & CRAIN, LTD.

By: Joseph P. Fox
 Joseph P. Fox, Registration No. 41,760

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Attorney for Applicant(s)

Joseph P. Fox

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Respectfully submitted,
 GREER, BURNS & CRAIN, LTD.

By:

Joseph P. Fox
 Joseph P. Fox, Registration No. 41,760

Adjustment date: 05/05/2008
 11/23/2007 CCHAU1 00000057 072069 10813613
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